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PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/816,779
		Filing Date	April 2, 2004
		First Named Inventor	Christopher W. Wesley
		Art Unit	2171
		Examiner Name	not yet known
Total Number of Pages in This Submission	6 **	Attorney Docket Number	10005.001020

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO Form SB-08a (2 sheets); Copy of listed refs: A27-A29 documents only; Return receipt postcard
<div style="border: 1px solid black; display: inline-block; padding: 2px;">Remarks</div> ** does not include pages of listed references		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

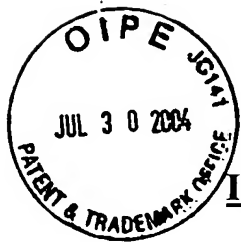
Firm or Individual name	Patrick D. Benedicto, Reg No. 40,909 OKAMOTO & BENEDICTO LLP		
Signature	<i>Patrick Benedicto</i>		
Date	July 27, 2004		

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Patrick D. Benedicto		
Signature	<i>Patrick Benedicto</i>	Date	July 27, 2004

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Christopher W. Wesley, et al.

Serial No. 10/816,779

Examiner: not yet known

Filed: April 2, 2004

Art Unit: 2171

Title: Control of Access to Computers in a
Computer Network

Atty. Dkt. No.: 10005.001020

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby listed but are not required to be provided in this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

- ☒ 37 CFR §1.97(b).
- ☐ 37 CFR §1.97(c). If so, then either:
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that either:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement.
- OR
- ☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
- ☐ 37 CFR § 1.97(d). If so, then both:
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that either:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
- AND
- ☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted,

Christopher W. Wesley, et al.

Dated: July 27, 2004

By: Patrick D. Benedicto
Patrick D. Benedicto
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CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified herein, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:	<u>Patrick D. Benedicto</u>		
Typed or Printed Name:	Patrick D. Benedicto	Dated:	July 27, 2004
Express Mail Mailing Number (optional):			



PTO/SB/08a (08-03)

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/816,779
Filing Date	April 2, 2004
First Named Inventor	Christopher W. Wesley
Art Unit	2171
Examiner Name	not yet known
Attorney Docket Number	10005.001020

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	A	US- 5,446,919	Aug. 29, 1995	Wilkins	
	B	US- 5,642,484	Jun. 24, 1997	Harrison III, et al.	
	C	US- 5,717,923	Feb. 10, 1998	Dedrick	
	D	US- 5,794,259	Aug. 11, 1998	Kikinis	
	E	US- 5,819,092	Oct. 6, 1998	Ferguson, et al.	
	F	US- 5,848,396	Dec. 8, 1998	Gerace	
	G	US- 5,854,897	Dec. 29, 1998	Guyot, et al.	
	H	US- 5,898,434	Apr. 27, 1999	Small, et al.	
	I	US- 5,933,811	Aug. 3, 1999	Angles, et al.	
	J	US- 5,937,037	Aug. 10, 1999	Kamel, et al.	
	K	US- 5,991,735	Nov. 23, 1999	Gerace	
	L	US- 6,026,368	Feb. 15, 2000	Brown, et al.	
	M	US- 6,029,141	Feb. 22, 2000	Bezos, et al.	
	N	US- 6,073,105	Jun. 6, 2000	Sutcliffe, et al.	
	O	US- 6,088,731	Jul. 11, 2000	Kiraly, et al.	
	P	US- 6,119,098	Sept. 12, 2000	Guyot, et al.	
	Q	US- 6,133,912	Oct. 17, 2000	Montero	
	R	US- 6,133,918	Oct. 17, 2000	Conrad, et al.	
	S	US- 6,134,532	Oct. 17, 2000	Lazarus, et al.	
	T	US- 6,141,010	Oct. 31, 2000	Hoyle	
	U	US- 6,144,944	Nov. 7, 2000	Kurtzman II, et al.	
	V	US- 6,144,596	Nov. 21, 2000	Hosomi	
	W	US- 6,183,366	Feb. 6, 2001	Goldberg, et al.	
	X	US- 6,222,520	Apr. 24, 2001	Gerszberg, et al.	
	Y	US- 6,253,188	Jun. 26, 2001	Witek, et al.	
	Z	US- 6,285,987	Sept. 4, 2001	Roth, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	10/816,779
Filing Date	April 2, 2004
First Named Inventor	Christopher W. Wesley
Art Unit	2171
Examiner Name	not yet known
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Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	A1	US- 6,286,043	Sept. 4, 2001	Cuomo, et al.	
	A2	US- 6,295,061	Sept. 25, 2001	Park, et al.	
	A3	US- 6,314,451	Nov. 6, 2001	Landsman, et al.	
	A4	US- 6,314,457	Nov. 6, 2001	Schema, et al.	
	A5	US- 6,317,761	Nov. 13, 2001	Landsman, et al.	
	A6	US- 6,321,209	Nov. 20, 2001	Pasquali	
	A7	US- 6,324,569	Nov. 27, 2001	Ogilvie, et al.	
	A8	US- 6,347,398	Feb. 12, 2002	Parthasarathy, et al.	
	A9	US- 6,351,279	Feb. 26, 2002	Sawyer	
	A10	US- 6,381,742	Apr. 30, 2002	Forbes, et al.	
	A11	US- 6,401,075	Jun. 4, 2002	Mason, et al.	
	A12	US- 6,434,745	Aug. 13, 2002	Conley Jr., et al.	
	A13	US- 6,438,215	Aug. 20, 2002	Skladman, et al.	
	A14	US- 6,446,128	Sept. 3, 2002	Woods, et al.	
	A15	US- 6,502,076	Dec. 31, 2002	Smith	
	A16	US- 6,601,041	July 29, 2003	Brown, et al.	
	A17	US- 2001/0037240	Nov. 1, 2001	Marks, et al.	
	A18	US- 2001/0037488	Nov. 1, 2001	Lee	
	A19	US- 2002/0010626	Jan. 25, 2002	Agmoni	
	A20	US- 2002/0019834	Feb. 14, 2002	Vilcauskas Jr., et al.	
	A21	US- 2002/0049633	Apr. 25, 2002	Paquali	
	A22	US- 2002/0052785	May 2, 2002	Tenenbaum	
	A23	US- 2002/0059099	May 16, 2002	Coletta	
	A24	US- 2002/0169670	Nov. 14, 2002	Barsade, et al.	
	A25	US- 2002/0171682	Nov. 21, 2002	Frank, et al.	
	A26	US- 2002/0175947	Nov. 28, 2002	Conrad, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	A27	WO 01/03028 A1	Jan. 11, 2001	Door Technologies, LTD.		
	A28	WO 01/15052 A1	Mar. 1, 2001	Memetrics, Inc.		
	A29	WO 01/39024 A2	May 31, 2001	Avenue A, Inc.		

Examiner
SignatureDate
Considered

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